



11/26/01

Docket No.: 50395-124

UTILITY PATENT APPLICATION  
UNDER 37 CFR 1.53(b)Box PATENT APPLICATION  
Commissioner for Patents  
Washington, DC 20231  
Sir:

Transmitted herewith for filing is the patent application of:

INVENTOR: Makoto KATAYAMA, Masayuki NISHIMURA  
FOR: OPTICAL DEVICE

FEE VALUE	
ACCOUNTABILITY	
DEPOSIT ACCOUNT NO.	
FEE	VALUE
CODE	FURNISHED

J11002 U.S. PTO  
09/93410  
11/26/01

Enclosed are:

- ☒ 20 pages of specification, claims, abstract.
- ☒ Declaration and Power of Attorney.
- ☒ Priority Claimed.
- ☒ Certified copy of Japanese Patent Application No. 2000-366912
- ☒ 11 sheets of formal drawing.
- ☒ An assignment of the invention to Sumitomo Electric Industries, Ltd.  
and the assignment recordation fee.
- ☐ An associate power of attorney.
- ☐ A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27.
- ☐ Information Disclosure Statement, Form PTO-1449 and reference.
- ☐ Return Receipt Postcard

The filing fee has been calculated as shown below:

	NO. OF CLAIMS		EXTRA CLAIMS	RATE	AMOUNT
Total Claims	16	-20	0	\$18.00	\$0.00
Independent Claims	1	-3	0	\$84.00	\$0.00
Multiple Dependent Claims					
Basic Fee					\$740.00
Total of Above Calculations					\$1,020.00
Less 1/2 for Small Entity					\$0.00
Assignment & Recording Fee					\$40.00
Total Fee					\$1,060.00



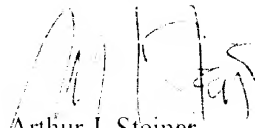
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PATENT TRADEMARK OFFICE

- ☐ Please charge my Deposit Account No. 500417 in the amount of \$1,060.00. A duplicate copy of this sheet is enclosed.
- ☐ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 500417. A duplicate copy is enclosed.
- ☐ Any additional filing fees required under 37 CFR 1.16.
- ☐ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 500417. A duplicate copy of this sheet is enclosed.
- ☐ Any patent application processing fees under 37 CFR 1.17.
- ☐ Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Respectfully submitted,

MCDERMOTT, WILL & EMERY



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Docket No.: 50395-124

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of :  
: Makoto KATAYAMA, et al. :  
Serial No.: 09/993,410 : Group Art Unit: 2872  
Filed: November 21, 2001 : Examiner:  
For: OPTICAL DEVICE :

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents  
Washington, DC 20231

Sir:

Attached is a copy of the Filing Receipt received from the U.S. Patent and Trademark Office in the above-referenced application. It is noted that the Title is incorrect on the Filing Receipt. Attached is a copy of the Declaration and Power of Attorney, which evidences that the correct Title should read Optical Device. It is requested that a corrected filing receipt be issued.

Respectfully submitted,

MCDERMOTT, WILL & EMERY

  
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